



Attorney's Docket No.: 10530-004001

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : D. Graeme Grant et al.  
Serial No. : 09/900,706  
Filed : July 6, 2001  
Title : PRICE DECISION SUPPORT

Art Unit : 2165  
Examiner : Unknown

**BOX MISSING PARTS**

Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

In response to the Notice to File Missing Parts of Application under 37 CFR §1.53(b) mailed August 22, 2001 (copy enclosed), applicant as a small entity submits herewith the following:

- ☒ Payment of the basic filing fee of \$370;
- ☐ Payment of the additional/multiple dependent claims fees of \$0;
- ☒ Check in payment of \$65 surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application;
- ☐ A Verified Statement Claiming Small Entity Status;
- ☒ Combined Declaration and Power of Attorney in compliance with 37 CFR §1.63;
- ☐ A verified English translation of the application and payment of the \$0 fee required under 37 CFR §1.17(k); and
- ☒ Other: Substitute Specification

This application is entitled to small entity status under 35 CFR 1.27.

Applicant requests correction of the Attorney's Docket No. from 10530-003001 to 10530-004001. All future correspondence should reflect the corrected Attorney's Docket No.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

November 20, 2001

Date of Deposit

Signature

*Lesley J. Arcidy*

Lesley J. Arcidy

Typed or Printed Name of Person Signing Certificate

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Applicant encloses a Substitute Specification with space and a half line spacing in compliance with the regulations as cited in 37 CFR 1.52(b).

It is understood that this perfects the application and no additional papers or filing fees are required. Please apply any other charges or credits to Deposit Account No. 06-1050, reference 10530-004001.

Respectfully submitted,

Date: 11/20/01



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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/900,706         | 07/06/2001          | D. Graeme Grant       | 10530-003001           |

CONFIRMATION NO. 3958

## FORMALITIES LETTER



\*OC00000006459661\*

DAVID L. FEIGENBAUM  
Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110-2804

Date Mailed: 08/22/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420. *435.00*

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Line spacing on the specification, claims, or abstract is not 1-1/2 or double spaced (See 37 CFR 1.52(b)).

*A copy of this notice MUST be returned with the reply.*

01/07/2002 BSAYAS11 00000079 09500706

01 FC:201  
02 FC:205

370.00 OP  
65.00 OP

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KSAYSON

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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